



**CITY OF LONG BEACH
HUD SECTION 3 COMPLIANCE
ATTACHMENTS
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DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD) INCOME LIMITS

Listed below is the current income limit that the City of Long Beach and its representatives will use to determine whether an individual meets the Federal definition of a “Section 3” worker.

The income amount is subject to adjustment by the City in accordance with HUD guidelines. Please note that as of the November 30, 2020 HUD “Final Rule”, Section 3 workers are now defined based on *individual* income, and NOT on income based on family size.

FAMILY SIZE	INCOME CRITERIA (80% OF MEDIAN)
1	\$66,250

FY21 EFFECTIVE 4/01/21



CITY OF LONG BEACH HUD SECTION 3 AFFIDAVIT

Must be completed by the prime contractor and submitted with bid documents. Must be completed and submitted by all subcontractors prior to issuance of Notice to Proceed.



IMPORTANT NOTE: YOUR SIGNATURE BELOW INDICATES THAT YOU RECEIVED A COPY OF THE CITY’S SECTION 3 GUIDELINES AND COMPLIANCE REQUIREMENTS STATED THEREIN.

I, THE UNDERSIGNED

OFFICER OR AUTHORIZED
AGENT OF COMPANY
(PRINT NAME)

SIGNATURE’S TITLE

SIGNATURE

PROJECT NAME:

COMPANY NAME:

ADDRESS:

CITY

STATE

ZIP CODE

TELEPHONE NUMBER:

()

E-MAIL ADDRESS:

DATE:

ATTACHMENT A



THE CITY OF LONG BEACH HUD SECTION 3 BUSINESS Concern Certification

Must be submitted with bid documents. To be completed by the prime contractor and all subcontractors. If not available with bid documents, this form must be received by the City by 10:00 a.m. of the next working day.

The sole purpose of this form is to calculate the number of Section 3 Business Concerns working on construction projects. Please print or type.

PROJECT:

COMPANY
NAME:

ADDRESS:

CITY:

STATE:

ZIP CODE:

TELEPHONE: ()

FORM COMPLETED BY:

SERVICE OR PRODUCT:

IS 51% OR MORE OF YOUR BUSINESS OWNED
BY SECTION 3 PERSONS OR CURRENT
RESIDENTS OF PUBLIC HOUSING OR
SECTION 8 ASSISTED HOUSING ?

YES NO

WERE AT LEAST 75% OF THE LABOR HOURS
OVER THE PREVIOUS 3-MONTH PERIOD
PERFORMED BY SECTION 3 WORKERS?

YES NO

SIGNATURE

DATE



HUD SECTION 3 COMPLIANCE CERTIFICATION

Must be signed by prime contractor and all subcontractors prior to issuance of notice to proceed

The contract for this work will include the following clause (referred to as the HUD Section 3 clause):

- A. The work to be performed under this contract is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (Section 3) as amended in the section 3 Final Rule on September 29, 2020. The purpose of Section 3 is to ensure that economic opportunities, most importantly employment and training, generated by HUD-assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low income persons, particularly persons who are recipients of HUD assistance for housing or are residents of the project area/community in which the federal assistance is spent. Consistent with 24 CFR Part 75, the City of Long Beach shall refrain from entering into a contract with any contractor after notification is received from HUD that the contractor has been found in violation of the Section 3 regulations. The City will also review past performance of contractors on Section 3 projects in determining contract awards.
- B. The parties to this contract agree to comply with HUD's regulations in 24 CFR Part 75, which implement Section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the Part 75 regulations.
- C. The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this Section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall provide the name and location of the person(s) taking applications for employment.
- D. The contractor agrees to include this Section 3 clause in every subcontract subject to compliance with regulations in 24 CFR Part 75, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR Part 75. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR Part 75.
- E. The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 75 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR part 75.
- F. The contractor understands that non-compliance and willful violation of HUD's regulations in 24 CFR Part 75 with respect to Section 3 and related regulations by itself, its sub-contractors and or lower tier contractors will result in corrective measures taken by the City of Long Beach to achieve compliance, including suspension or withholding of contractor payments.

I, THE UNDERSIGNED, ON BEHALF OF THE COMPANY HEREBY CERTIFY THAT I HAVE RECEIVED, READ AND UNDERSTAND THE CONTENTS OF THE ABOVE STATED "SECTION 3" CLAUSE.

SIGNATURE

PRINT NAME

DATE

TITLE (OFFICER OR AUTHORIZED AGENT)

COMPANY NAME

ADDRESS

CITY

STATE

ZIP CODE



THE CITY OF LONG BEACH SECTION 3 WORKER CERTIFICATION FORM

To be Completed by ALL workers
EMPLOYED ON-SITE

(2021 INCOME GUIDELINES)

ATTACHMENT F

Worker's Name: _____

Worker's Permanent Address: _____

Please note your individual annual income, racial and ethnicity background:

INDIVIDUAL INCOME:

- \$66,250 or less
- \$66,251 or more

RACIAL BACKGROUND: Mark an "X" below, to all that apply that best describes your origin:

- American Indian/Alaska Native
- Asian
- Black/African American
- Native Hawaiian/Other Pacific Islander
- White

ETHNIC BACKGROUND: Mark an "X" below, next to the category that best describes your ethnicity:

- Hispanic/Latino
- Not Hispanic/Latino

THE UNDERSIGNED DECLARES THAT THE ABOVE INFORMATION IS COMPLETE AND CORRECT.

Signature of Worker

Date

To Be Completed by Employer

The above-named person is a permanent full-time employee or was hired on _____
 This person's Job Classification is _____
 Name of Employer: _____

To Be Completed by City of Long Beach

Preference Category: Targeted Service Area Youthbuild McKinney Homeless Other
 Census Tract Number: _____

APPENDIX

Examples of Efforts To Offer Training and Employment Opportunities to Section 3 Workers and Section 3 Target Workers

- Establish direct on-the-job training programs.
- Advertise employment positions in the neighborhood or service area of the Section 3 covered project.
- Contact resident councils, resident management corporations, or other resident organizations, where they exist, in the neighborhood or service area of the Section 3 covered project to request the assistance of these organizations in notifying Section 3 workers and Section 3 target workers of the employment positions to be filled.
- Sponsor (schedule and advertise) job informational meetings at a location in the neighborhood or service area of the Section 3 covered project.
- Consult with State and local agencies administering training programs funded through JTPA, probation and parole agencies, unemployment compensation programs, community organizations and other officials or organizations to assist with recruiting Section 3 workers and Section 3 target workers for employment positions.
- When there are more qualified Section 3 workers than there are positions to be filled, maintain a file of eligible qualified Section 3 workers for future employment positions.

Examples of Efforts To Award Contracts to Section 3 Business Concerns

- Carry out contracting procedures and specific contract opportunities in a timely manner so that Section 3 business concerns can take advantage of upcoming contracting opportunities, with such information being made available in languages other than English where appropriate.
- When appropriate, break out contract work items into economically feasible units to facilitate participation by Section 3 business concerns.